

10/070,681

**REMARKS**

The drawings are objected to for the reasons noted in the official action. All of the raised drawing objections are believed to be overcome by the requested drawing amendments accompanying the attached Submission. If any further amendment to the drawings is believed necessary, the Examiner is invited to contact the undersigned representative of the Applicant to discuss the same. New formal drawings will follow once the Examiner approves the requested drawing amendment(s).

Accompanying this response, please find a substitute specification which overcomes the informalities noted in the official action, e.g., reformats the specification, adds paragraph numbering, corrects the noted as well as other specification informalities, etc. The undersigned avers that the enclosed substitute specification does not contain any new matter.

With the March 7, 2002 filing, a reformatted specification—apparently not entered in this case—was submitted along with a First Preliminary Amendment containing new claims 11-16 which corresponded to the Chapter II amended claims. As the originally filed application contained ten (10) claims and the official action refers only to six (6) claims, the Applicant assumes that the outstanding favorable action concerns new claims 11-16, corresponding to the Chapter II amended claims, rather than originally filed claims 1-6. In any event, in order to minimize any possible further confusion concerning the claims of this application, the Applicant is entering a completely new set of claims for this case which are numbered as new claims 17-23.

Claims 1-3, 5 and 6 are rejected under 35 U.S.C. § 112, second paragraph, as being indefinite for the reasons noted in the official action. The subject matter of the rejected claims is accordingly revised and rewritten, by the above claim amendments, as new claims 17-23. All of the presently pending claims are now believed to particularly point out and distinctly claim the subject matter regarded as the invention, thereby overcoming all of the raised § 112, second paragraph, rejections. The entered claim amendments are directed solely at overcoming

10/070,681

the raised indefiniteness rejection(s) and are not directed at distinguishing the present invention from the art of record in this case.

The Applicant thanks the Examiner for indicating that claim 4 is allowed while claim 1 would be allowable if appropriately amended. In view of the forgoing, the Applicant respectfully submits that all of the pending claims are now in a condition for allowance.

If any further amendment to this application is believed necessary to advance prosecution and place this case in allowable form, the Examiner is courteously solicited to contact the undersigned representative of the Applicant to discuss the same.

The Applicant respectfully requests that any outstanding objection(s) or requirement(s), as to the form of this application, be held in abeyance until allowable subject matter is indicated for this case.

In the event that there are any fee deficiencies or additional fees are payable, please charge the same or credit any overpayment to our Deposit Account (Account No. 04-0213).

Respectfully submitted,



Michael J. Bujold, Reg. No. 32,018

**Customer No. 020210**

Davis & Bujold, P.L.L.C.

Fourth Floor

500 North Commercial Street

Manchester NH 03101-1151

Telephone 603-624-9220

Facsimile 603-624-9229

E-mail: [patent@davisandbujold.com](mailto:patent@davisandbujold.com)



[001] A METHOD AND AN APPARATUS FOR IDENTIFICATION AND  
CONTROL OF HANDLING OF KEYS AND THE LIKE

RECEIVED

JUL 0 8 2004

Technology Center 2600

[002] FIELD OF THE INVENTION

[003] The present invention relates to a method for identification and control of  
the handling of keys and the like according to the preamble of claim 1.  
The invention also relates to an apparatus for carrying out the method set forth in  
claim 1 according to the preamble of the first apparatus claim.

[004] SUMMARY OF THE INVENTION

[005] In ~~[[e.g.]]~~ offices, factories, hospitals, hotels, security companies, car repair  
shops and car rental services, mail-carrying companies, shipping agencies, banks,  
apartment buildings, real estate companies, etc., usually a relatively large number  
of keys for various properties, apartments, rooms, file cabinets, safe-deposit  
boxes, cars, etc., are circulated. The keys are used by a fairly large group of  
people and so far it has been difficult or impossible to ~~[[e.g.]]~~ keep track of the  
whereabouts of certain keys/persons at certain points of time. Mistakes and  
neglects can easily occur, when the key cabinet is used and also when keys are  
manually handed out and this is registered. Also, all personal handling is very time  
consuming and expensive.

[006] In order to avoid to a large extent the last mentioned drawbacks electronic  
circuitries including IC-circuits have already been used, which can identify certain  
used codes, which are associated with a loose object. However, partly the design  
of these electronic circuitries is imperfect and limited and partly their applications  
are defective and impractical, and consequently ~~[[e.g.]]~~ the security cannot be  
guaranteed and a reliable survey of the situation is lacking.

[007] US-A-4 549 170 relates to a system for handling a key panel. The panel is  
designed for a very large number of, namely, one thousand~~[[.]]~~ keys~~[[.]]~~ which  
mutually can and presumably should vary rather strongly (see Figs 1 and 2). Each  
key with its special design will be used in a particular corresponding special  
opening having a fitting module in said panel (see column 3, lines 9-15).

[008] This may cause a difficult search, in case corresponding numbers are not  
available. The keys are completely conventional but designed to, when they have

been inserted into the panel, trigger an electric contact via their handle or tip or point. A wrong key can of course be inserted into a panel opening, but only if the shape of the opening in depth allows this. The triggered contact is forwarded to an electronic system for various indications. However, the keys are per se not designed to forward any special information from the user or in a reliable way from themselves for the above-mentioned reason. The system must in all likelihood on every occasion be composed in a special way, and quite likely often be recomposed, since locks and keys often are exchanged for other locks and keys. This makes the system not very suitable for a practical usefulness and at least makes it most detailed, inflexible and expensive in manufacturing and maintenance situations.

[009] The object of the present invention is to provide a method of counteracting and, to the utmost possible extent, to eliminate these drawbacks as well as to ~~in various additional respects~~ enhance the state of the art in this field in various additional respects.

[010] These objects are attained according to the present invention by carrying out a method of the kind ~~mentioned in the introduction mainly as set forth in the characterizing clause of claim 1~~ invention. Said objects are also attained by designing an apparatus of the kind mentioned in the introduction mainly in the way set forth in the ~~characterizing clause of the first apparatus claim~~. Thanks to the invention, a robust but still highly sophisticated and completely reliable method of handling, with the greatest imaginary carefulness and reliability, an arbitrary number of keys has been developed. By means of their pin-like shape the identification and control devices protect their sensitive contents to a great extent. Thus, they can be subjected to a rough treatment for an extended time without this having a damaging effect on their functioning. The pin-shape is flexible in all respects and not bulky nor heavy. At the same time, the pin-shape allows, to a large extent ~~[[a]]~~ is protection of the sensitive parts of a local control unit, since these parts are mounted in a careful way within said unit and are concealed and protected. Despite the fact, that thousands or many thousands of different codes

can be stored in the pins, they are uniform and thus they do not cause any problems when keys, users, storage spaces, etc., are exchanged.

[011]        BRIEF DESCRIPTION OF THE DRAWINGS

~~[012]        Additional characterizing features and advantages of the invention are set forth in the following description, reference being made to the enclosed drawings, which show a few preferred but not limiting embodiments. The drawings show in detail in~~

[012]        The invention will now be described, by way of example, with reference to the accompanying drawings in which:

[013]        Fig. 1 is an apparatus according to the invention with a perspective view from one end, from above and from the front, of a control and indication unit;

[014]        Fig. 2 is a perspective view of the outer end of an identification and control device, which is a part of the apparatus according to Fig. 1;

[015]        Fig. 3 is a diametrical longitudinal section through the device according to Fig. 2;

[016]        Fig. 4 is the unit according to Fig. 1 in an end view from the left in Fig. 1;

[017]        Fig. 5 is a diametrical cross-section of the unit according to Fig. 1; and

[018]        Fig. 6 is a view of a modified apparatus, which is similar to the apparatus shown in Fig. 4.

[019]        DETAILED DESCRIPTION OF THE INVENTION

[020]        An apparatus 1, according to the invention, is shown in its entirety. It comprises three main components, namely, at least one control and indication unit 2, a plurality of identification and control devices 3, designed for the unit 2 as well as a control center 4. Said unit is shown in the drawings as a strip for 14 pin-shaped identification and control devices. The shown strip shape is only one example, which can be replaced by any other suitable shape for the rear or interior side of a key cabinet. The pin shape of said device is also only an example, which can be replaced by any other suitable shape. The number of units

and devices can vary within certain limits. Also, more than one center can be used.

- [021] Strip or unit 2 comprises a frame work with a U-shaped cross-section made of metal sheet, the free longitudinal edges of legs 11, 13 of which end in away from each other directed narrow flanges 6 having a few further outstanding eye fastening means 7 ~~[[,]]~~ designed to receive screws (not shown) for the fastening of the strip to a wall. 20
- [022] A fastening profile 8 is inserted into the U-profile and has the same longitudinal extension. The fastening profile, which is substantially L-shaped, has a base leg 9, which lies in the same plane as flanges 6, a bearing leg 10, short in profile ~~[[,]]~~ and being connected to the free longitudinal edge of base leg 9 and designed to bear on the interior side of first leg 11 and the frame work. Second leg 12 of fastening profile 8 runs parallel to second leg 13 of the frame work at a distance inside the same. Bolts 15 extend through front side 14 of frame work 5 and base leg 9, spacing sleeves 16 ~~connecting~~ connect theses two parts to each other to obtain a manageable unit. 20
- [023] Leg 12 of fastening profile 8 carries, via fastening means 17, a unit printed circuit card 18 on its side, which faces leg 11 and the frame work, from which circuit card a contact means 19 projects towards said leg of the frame work, designed as a ribbon, which is parallel to front 14 of the frame work and comprises electric cables, separated from each other (not shown), one cable for each identification and control device. For the rest the electric circuit suitably is closed to printed circuit card 18 via frame work 5, which that portion of device 3 contacts, which is electrically isolated from another portion of device 3, which can be electrically contacted with contact means 19. Printed circuit card 18 is, via a signaling circuit 46, connected to a center 4 and a local PC, a display unit or the like respectively ~~[[,]]~~ which, in ~~[[its]]~~ turn, is connected to center 4. 20  
20  
20
- [024] Base leg 9 of the fastening profile carries, via fastening means 20 by means of its side ~~[[,]]~~ which faces the front of the frame work, a fastener 21 for permanent magnets 22 ~~[[,]]~~ which project towards the front of the frame work, one for each identification and control device. 20  
20  
20

[025] The front of the frame work has a number of holes 23, which correspond to said number of identification and control devices 3, suitably having inwardly bent collars 24, designed to provide a guide effect. Also, the front of the frame work is provided with diode lamps 25, connected to printed circuit card 18, fitted into said holes and designed to indicate ~~[[e.g.]]~~ an authorization and a non-authorization, respectively, when an identification and control device 3 has been fully inserted. Non-authorization or non-availability can be indicated by a red light, whereas authorization or availability can be shown by a green light. Many different possibilities and combinations are of course possible, which hardly need any description in more detail.

[026] In a preferred embodiment, an identification and control device 3 according to the invention comprises a pin-like shell 26~~[[, e.g.]]~~ made of a light metal. One end 27, the exterior one~~[[,]]~~ of shell 26, can be pointed like a wedge and provided with a hole 28 right through it~~[[,]]~~ for receiving a key-ring 29, to which a key ~~(not shown)~~ 52 is to be fastened.

[027] ~~[[Sheet]]~~ Shell 26 comprises two parts, one front (inner part) 30 and one rear (outer) part 31. End sides 32, 33, facing each other, of the two parts formed in this way, are each provided with a cylindrical recess 34 and 35, respectively, for receiving a carrier 36 made of an electrically isolating material, e.g., a plastic material. The carrier has a substantially ~~[[has a]]~~ cylindrical shape and fits with press fit with each end into a respective recess, a cavity 37 and 38 respectively remaining, designed to receive ~~[[e.g.]]~~ screw-shaped electrically conductive compression springs 39, 40. Around the central part of the shell a flange 41 extends, which separates the ends of said parts 30, 31 from each other and consequently is electrically isolating, whereas the axially hollow shell receives a device printed circuit card 42 and a chip 43, which is loaded with a unique code for each identification and control device. A compression spring with its one end abuts each end of said printed circuit card 42, whereas the other end of the compression springs is supported by the respective bottom of said recesses 34, 35. Finally, the end of each device 3, which is the front end in the insertion direction, receives in a recess 44 a steel washer 45, designed to

cooperate with one of said permanent magnets via said ribbon 19 and an electric cable in it.

- [028] Such an apparatus according to the invention will function in the following fashion: In a typical case, a control and indication unit (the strip) 2 is mounted in a key cabinet ~~(not shown)~~ 50, locked by means of a code lock, in which a user inserts his code in order to open the cabinet. When the code is inserted, a signal transfer to printed circuit card 18 in addition to a release of the key cabinet door takes place, for each insertion place 23 for an identification and control device (the pin) 3, an authorization designation and/or a non-authorization designation being indicated, e.g., by means of a green illumination of a diode lamp 25 for ~~an~~ authorization, whereas for a non-authorization a red illumination of the diode lamp is used. When one or several keys are removed in a proper way, a signal is transferred via the printed circuit card 18 of the strip to said control center 4 having a central printed circuit card, in which the removal is registered and stored. When a removal takes place in an improper way, an alarm signal is transferred in a similar way to said center and forwarded to ~~e.g.~~ an alarm device.
- [029] Up to the return of the key/the keys it/they and/or the attached pin(s), when ~~e.g.~~ a door is unlocked, can send a signal~~,~~ which is registered in said center and/or another center.
- [030] When the key/the keys are returned, the respective diodes emit light, when the user has inserted his code, and indicate~~,~~ where the key/the keys is (are) to be inserted, which also is registered in said center.
- [031] Via any of said centers, possibly via a connected PC, the user will in each phase be able to see~~,~~ who has removed which keys and possibly also the point of time of removal, unlocking, locking, return, etc. Also, security functions can be integrated, ~~e.g.~~ such as the return of keys before a certain point of time.
- [032] The apparatus shown in Fig. 6 differs from the apparatus shown in Fig. 4 and 5, respectively, solely regarding the signal transfer. Permanent magnet 22' is in this case, by means of fastening means 20' and a fastener 21', e.g., a bolt and a nut, fastened to printed circuit card 18, device 3 with its insertion end directly abutting the magnet and the information being transferred from device 3 to circuit



card 18. In this case magnet 22' has a double function, namely, partly, like before, to secure the correct insertion position of the device by attracting the same, and partly to take over the function as electric contact means of means-19. Device 3 suitably comprises two metal parts, e.g., a front part made of steel and a rear part made of a lighter metal. Via hole 23 and control collar 24, respectively, in which the material jointly with the rest of the shell also is made of an electric conductive material, e.g., metal, the rear end of device 3 is grounded. As an alternative to this grounding it is possible to let some type of spring radially abut the rear portion of device 3. Collar 24 can, particularly in the latter case, be made of a plastic material. Device 3 can also entirely or partially be made of a plastic material, e.g., a plastic material having conductive portions.

[033] The apparatus according to the invention suitably is provided with a special computer program, which also comprises the possibility of successively adding one or more additional strips or the like to a suitable number of insertion positions. The apparatus, the method and also said computer program allow a mounting of devices 3 in "stationary" or changeable positions. In the latter case, device 3 can be inserted into an arbitrary hole 23. Thanks to printed circuit card 42 of device 3 and chip 43, it is easy for control center 4 to recognize each device 3 as a unique part and to indicate its possibly changed position. In case ~~[[a]]~~ programming has been done for "stationary" positions and device 3 still is mounted in a position other than the indicated position, the local and/or the central electronic circuitry can indicate the changed position by means of a short buzzer signal. In case still no repositioning of device 3 takes place, its actual position will be registered and it will be indicated at the next inquiry opportunity, i.e., by means of diode lamp 25. In case the user takes the wrong device 3/key, i.e. a device, device/key for which the code and the ID of the user is not programmed, the device will issue an alarm signal, preferably initially a "small" alarm signal during a few seconds, in order to allow the user to understand his mistake and reposition device 3, and after ~~[[e.g.]]~~ 10 seconds a "big" alarm signal. According to a preferred embodiment, a locked cabinet (not shown) is provided with a code lock and a display (not shown). After writing the correct code the cabinet can be opened. The user can now choose the

desired key (one at a time). The keys are, when registered, provided with a name and/or a number. After the writing of a key name and a number, respectively, diode lamp 25 flashes close to the chosen device 3 with the chosen key. In case the desired key already has been removed by another user (empty key position), the user is able to be informed in the display window about who has removed the key. The key positions can be made visible on a PC, which belongs to or is connected to unit 2 and 4 respectively. The user can open a window in order to see the key positions visually. By moving the mouse to an empty key position and clicking the mouse, the user is able to obtain information about who has removed the key and the point of time this occurred.

[034] The present invention is not limited to the embodiments described above and shown in the drawings, respectively, which only are to be regarded as exemplifying embodiments, which can be modified and supplemented in an arbitrary way within the scope of the inventive idea and the accompanying claims. The handling can relate to other items than keys, e.g., tools, documents, medical drugs, etc. The characterizing features of the various embodiments or parts thereof can of course be mutually exchanged. The identification and control devices can of course be sealed or be protected in other ways against trespassing. The keys, i.e., the key rings and the like, can also be included in such a protection. In very special cases the pins can be designed as a part of a key.

1/3

Fig. 1

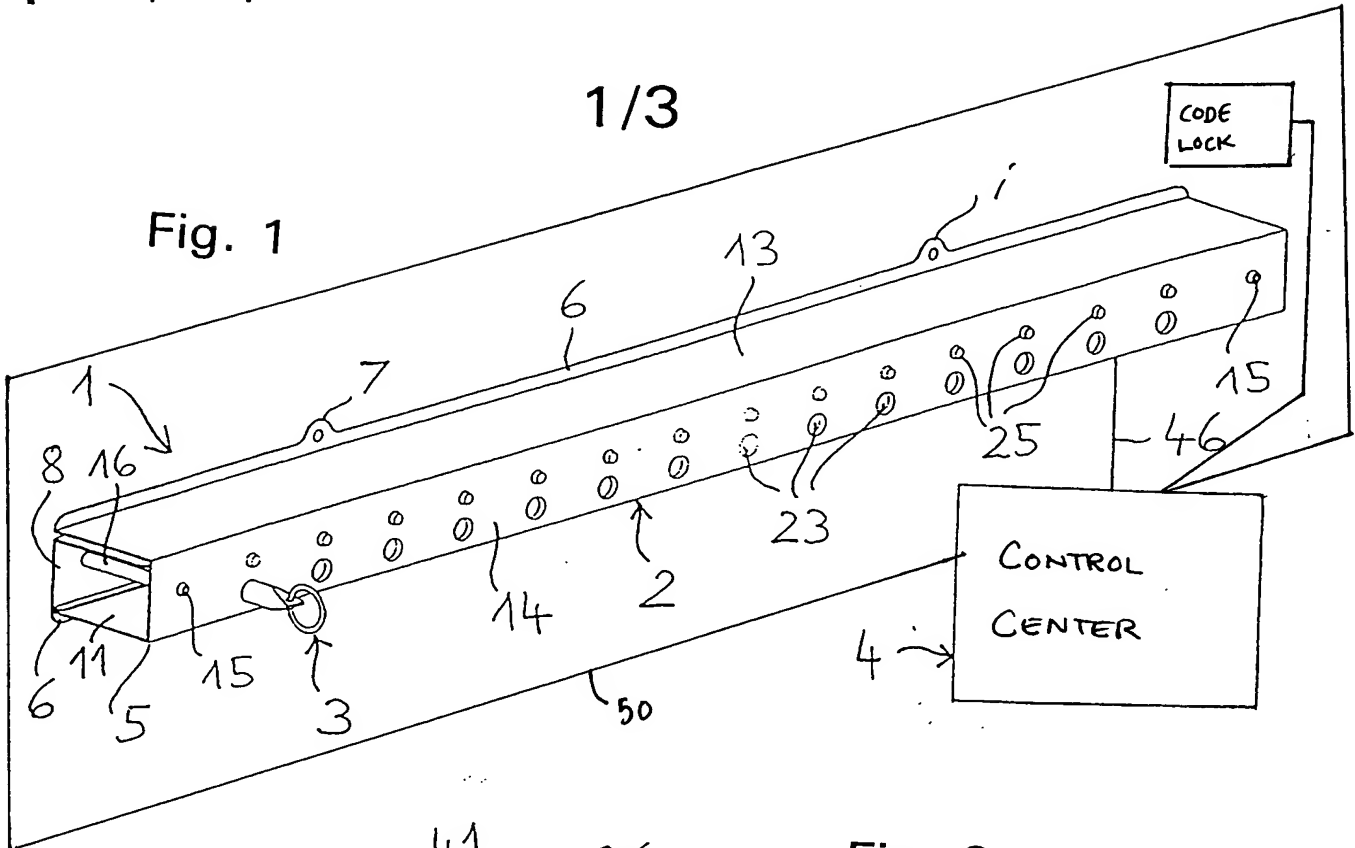


Fig. 2

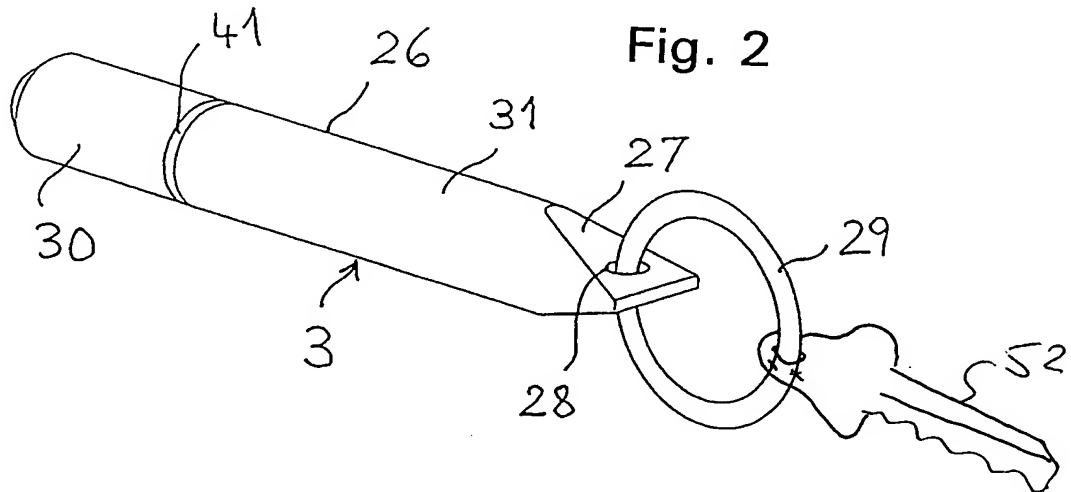


Fig. 3

